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NEW YORK STATE ADVISORY COMMITTEE TO THE
U.S. COMMISSION ON CIVIL RIGHTS

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26 Federal Plaza
New York, New York

December 4, 1991
2:35 P.M.

B E F O R E: Dr. Setusuko M. Nishi, Chairperson

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A P P E A R A N C E S :

TINO CALABIA
PAULA CIPRICH
RICHARD COX
ARNSTEIN MURRAY
JUAN PADILLA
JEFFERY AMBERS

P R E S E N T E R S

JOSEPH KENNEDY - U.S. Department of Health
and Human Services
MICHAEL R. CARTER - OCR Investigations Division
DR. LAUREN PETE - New York Hospital Association
CARMEN V. CUNNINGHAM - President, Affirmative
Action Programs
MARIO TAPIA - President, Latino Gerontological
Center
SARA VIDAL - Office of Andrew Stein

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DR. NISHI: We will now open the meeting with regard to nursing homes with regard to older minorities.

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Good afternoon, my name Setsuko M. Nishi. I am a professor of sociology in the graduate center of the City University of New York and at Brooklyn College, and I chair the New York State Advisory Committee to the U.S. Commission on Civil Rights.

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To my left is Paula Ciprich; professor Richard Cox from Buffalo; Mr. Arnstein Murray from Albany and Mr. Juan Padilla from Rochester and to my immediate right is Mr. Tino Calabria who is the staff community and staffs the northeast -- the five states of the northeast.

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The members of each of the Commission's State Advisory committees are residents of different areas of their respective states and they serve as 'the local eyes and ears' of the eight Commissioners in Washington, D.C. who appointed them.

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The Commissioners and their 51 state advisory committees inquire into issues pertaining to discrimination or the denial of equal

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2 protection based on race, color, religion, gender,
3 age, disability or national origin, or in the
4 administration of justice.

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6 Let me welcome our speakers and other
7 guest, two of whom who have offices in this
8 building, while others have come from as far away
9 as Albany. They have been invited to share
10 information and their views on long-term shelter
11 and nursing care for the minority aging. We are
12 looking at the older population in the New York
13 State's minority communities and to what extent
14 these residents may or may not enjoy equal access
15 to long-term shelter, such as in nursing homes.
16 As some have you know, litigation on behalf of
17 minority group members seeking nursing home
18 admittance has occurred in Tennessee and
19 Pennsylvania.

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20 Because of your work with older New
21 Yorkers, our committee is gratified that you have
22 volunteered to provide information and offer your
23 views today. This forum was organized to complete
24 work begun last year, when several of the agencies
25 invited then could not get to Buffalo where the
original forum took place. I should add that, as

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2 before, the press was informed of today's forum,
3 and any members of the audience will have a
4 reasonable opportunity to offer comments as well.

5 The procedures are being transcribed and
6 the transcript will be maintained in the offices
7 of our Washington staff in accordance with the
8 Privacy Act. Let me explain that for access to
9 information provided by you and stored in
10 Washington, you may contact the Commission's
11 solicitor at the address shown on the agenda.

12 Federal law also requires that all
13 persons refrain from degrading or defaming any
14 individuals when providing information. At the
15 same time, all persons presenting information have
16 the right not to be reported or photographed by
17 the media. Should you wish to exercise this
18 right, please let us know so that your request can
19 be accommodated.

20 We plan to issue a summary report of the
21 two forums. It will be based on the transcript,
22 supplementary interviews, and any other relevant
23 information now in our staff's files or obtained
24 in the coming weeks. Having stated these
25 requirements and our plan, let me introduce the

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2 first of our guests. Mr. Joseph Kennedy and Mr.
3 Michael Carter of the U.S. Department of Health
4 and services. Thank you for being with us.

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MR. KENNEDY: Good afternoon, my name is
6 Joe Kennedy. I am acting regional manager of New
7 York and Michael Carter is the branch chief of the
8 investigator division.

9

As manager of the New York region, I
10 represent the national director of the office for
11 Civil Rights, Edward Mercado. On behalf of the
12 staff herein, Region II, I welcome the opportunity
13 to speak before you today on nursing home care and
14 civil rights for minority elderly. I find it
15 gratifying that in the time of economic stress
16 that we're not so consumed with the problems of
17 the economy of minority care and their need for
18 adequate nursing care.

19

Unfortunately, the problems of economic
20 downturn and the problems associated with elder
21 care are not free of the dynamics that affect all
22 segments of our society. These dynamics include
23 the ever present influence of discrimination in
24 its many forms. The network of human and social
25 services programs is extensive. Yet, a common and

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2 redundant theme continues to rear its ugly heed.
3 If you are poor African American or poor Hispanic;
4 if you are not of the same native land; if you do
5 not practice the same religion, your request for
6 benefits of being an American and your Civil
7 Rights may be denied. The office for Civil Rights
8 in the agency within the Department of Health and
9 Human Services is charged with the responsibility
10 of monitoring the performance of recipients of
11 federal financial assistance to determine whether
12 they are complying with the applicable Civil
13 Rights laws.

14 In the case of the Nursing Home Act, of
15 1964, Section 504 of the Rehabilitation Act of
16 1973, the community service assurance of the Leo
17 Burton Act, Omnibus Reconciliation Act of 1982.
18 While each of these provisions provided specific
19 coverage in areas relating to the handicapped
20 community residents and the aged OCR through Title
21 VI protects the rights of minorities in the
22 application, admission receipt and other aspects
23 of Nursing Home Services. Title VI read in part
24 no person of the United States shall, on the
25 ground of race, color or national origin be

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2 excluded from participation in, be denied the
3 benefits of, or otherwise subjected to
4 discrimination under any program..."

5 These words, as written, are succinct
6 and direct, yet the absence of a deterrent
7 influence can render the clearest and forceful of
8 laws ineffective.

9 Accordingly, the Office of Civil Rights
10 maintains a presence in ten Regional offices in
11 the continental United States and it provides
12 coverage through a staff of 325. In the New York
13 Region, it is authorized a staff of 19. This is
14 hardly sufficient to adequately monitor all of the
15 nursing homes in the region on a one to one
16 basis. However, we do have programs in place that
17 allow us to examine targeted areas of concern and
18 respond to complaints that may be filed by the
19 public.

20 When I came to the New York region in
21 October of this year, I was impressed in the
22 resilience and the drive that New Yorkers
23 displayed. Nonetheless, Region II shares the same
24 lament as the other regions; too many facilities
25 and not enough staff. The staff here in New York

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2 have conducted more than 2100 compliance actions
3 in the last five years. A number of these were
4 self-generated acts that we commonly refer to as
5 pre-grant reviews.

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7 Pre-grants present an opportunity for
8 the office of Civil Rights to provide
9 instructions, technical assistance and to obtain
10 commitments prior to a recipient obtaining a grant
11 or clearance to participate in the Medicaid or
12 Medicare programs. Typically, it assists in the
13 development of policies, examines procedures and
14 practices and secures corrective action to
15 determine if a recipient and applicant will be
16 able to comply before funds are let.

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18 Despite having conducted more pre-grant
19 reviews in fiscal year '91, the level of
20 compliance review activity is less than enough to
21 have a deterrant influence on the more than 585
22 nursing homes we did not contact during the year.

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24 Despite the level of self generating
25 activity in the effectiveness in the office is in
part control led by the general public. Their
willingness to communicate with us, to file
complaints of instances of noncompliance and

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2 become aware of their rights if a long way to
3 determine the shape and strategy of the office of
4 Civil Rights. Routine statistics on the number of
5 nursing home residents and ethnicities are not
6 available.

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8 As a general rule, the Department of
9 Health and Human Services does not collect these
10 data. State agencies may have information
11 concerning the number of inhabitants by race in
12 nursing homes. Such information may be available
13 by a request to the nursing home itself.

14

15 As a condition of receiving Medicaid
16 certification, many homes have agreed to maintain
17 such data. Much of the federal activity serves as
18 a deterrent issue of compliance monitoring and in
19 any of its forms should be sufficient to those who
20 are victims. However, without an aggressive
21 outreach program and a vigorous enforcement
22 program, the public cannot resolve the shield that
23 is there to protect them.

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25 A number of Title VI complaints filed
against nursing homes and health related agencies
in the last five years is less than 10. We do not
believe the numbers reflect the levels of the

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2 discrimination.

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4 In contrast, there may be more than
5 1,000 filed in other jurisdictions. Particularly
6 Section 504 of the Rehabilitation Act of 1973.
7 Were it not for self-generated activity, Title VI
8 monitoring would be virtually absent. We have
9 known for some time that disproportionately fewer
10 minorities are admitted to nursing homes. Why is
11 this so? Fewer apply disproportionately to their
12 presence for admission because of economic
13 disadvantage impacts the availability of Medicaid
14 among working poor such as those who earn too much
15 money and would be classified as self paid. There
16 is a lack of general attention to health care
17 which means less contact with counselors and social
18 service administrators. There is a general lack
19 of health care professionals and facilities in
20 poor communities.

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22 The sector shows fiscal responsibility
23 to the extent that they show effective
24 management. The inclusive or improvement of
25 private pay profile reflects success African
Americans and Hispanics do not resort to
institutional care. Medicaid is seen as a last

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2 resort. If a facility is predominantly Medicaid,
3 it is less able to compete for top quality
4 professional services and they are less likely to
5 attract staple employees. Self-selection is a
6 mirage when more generic questions are asked
7 regarding adequate diet, hygiene, safety and
8 security.

9

African Americans, Hispanics and whites
10 all opt for better conditions when there are
11 choices. Access to family and convenience is
12 critical; however, the location of a nursing home
13 can influence its ability to employ stable and
14 competent staff. Minorities are all too often
15 victims of discriminatory practices of nursing
16 homes. Increasingly, nursing homes have not
17 maintained applicant flow data. Without
18 applicants or complainants, discrimination is hard
19 to prove. Ethnic identification and religion
20 restricts the application by those other than one
21 belonging to a specific group.

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While the nursing home that receives
23 Federal financial assistance, it prohibited from
24 restricting admission an uninformed Protestant is
25 less less likely to attend a home identified as a

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2 Catholic institution. Moreover, a Jewish home for
3 the elderly will more likely attract Jewish
4 residents than a Catholic or Hispanic black who
5 may not be of Jewish faith. When charges of
6 denial of the admission are verified the remedies
7 are to rectify the individual harm and take such
8 action to preclude from discrimination from
9 happening again. The remedy may be developed as a
10 result of an OCR compliance review or the result
11 of a Complaint Investigation.

12 In either events, OCR monitors the
13 performance until it is assured compliance has
14 been achieved.

15 What are some of the concerns beyond
16 admissions? Services for specific handicaps such
17 as oxygen therapy, HIV individuals, renal
18 dialysis, tracheotomy and tube feedings and those
19 generally thought of as needing heavy care.

20 The concerns of the handicap magnifies
21 the impact of discriminatory policies and
22 practices. If anything, nursing homes may use
23 these as pretext to discrimination. OCR provides
24 technical assistance, monitors performance after
25 grantees through compliance reviews and

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2 complaints.

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If it is to be more effective and impact the care of the elderly, it must continue public notices, seminars and workshops; increase the number of compliance activities in the nursing homes; improve internal processing times; improve and maintain dialogue with the community; develop referral networks with State agencies to increase federal involvement.

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A common myth that minorities, that is African and Hispanics, take care of their own is a behavior pattern. The notion of self-care is more than altruistic undertaking.

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African Americans and Hispanics are two groups that represent poor and as a consequence they have not been a part of the elder care network. For the African American, one would have to divest himself or sign over his assets to gain entry to a nursing home is a repulsive thought. African Americans have laws interpreted or had those laws available so that they can benefit. They simply are unaware of the strategies that the wealthy use to avoid giving everything they own to a nursing home.

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Also alarming are the practices of hospitals and the referral sources. Their yielding behavior reinforces the perception that minorities have that they are relegated to less durable homes. The result is a system that bars minority consumers from the better facilities and an understanding that they will end up in substandard care.

The truth is minorities have no choices. Seeing a homeless elderly person is a stark reminder that many of those needing nursing care are not self-sufficient and they are not being taken care of by their own. Bring together the forces of the beneficiaries, the recipients and the private sector and the forces of the government. Each must make a contribution to the unending battle for repudiating discrimination. The scourge of apathy despair and discontent tear at the underpinnings of Civil Rights policy, particularly for those who because of discrimination feel that the system does not work for them. The components that will make a difference are education, outreach government and enforcement component. Yes, we are here to

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2 discuss the plight of the minority elderly in
3 seeking nursing home accomodation.

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5 We are concerned that nursing homes seek
6 profit over principle and quality may lie in our
7 ability to pay the cost of care for those who
8 cannot care for themselves. It is not as simple
9 without examining the problems of yesterday. Our
10 views on discrimination in housing, employment
11 transportation and public accommodations influence
12 our values when it comes to the concerns or lack
13 of concerns for minority elderly.

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15 Currently, the Office for Civil Rights
16 is rising to meet the challenge through a number
17 of actions. We're expanding our outreach efforts
18 to better educate the public. We are developing
19 more efficient strategies. We are developing a
20 training institute to better staff, improve staff
21 skills. We are restructuring the organization to
22 improve the ratio of investigations to the number
23 of staff numbers. We're increasing the volume of
24 nursing home compliance reviews.

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26 The present challenges as we face are
27 not insurmountable but the road to the correct
28 level of vigilance is not easily traveled. So

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2 that when Secretary Sullivan's realization of a
3 long time life span is obtained, the vestiges of
4 discrimination will have been eliminated.

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I brought with me Mr. Michael Carter
6 because Mr. Carter has a longer history working in
7 the region and some of the specific questions you
8 may have to answer, I'll have to defer to him.

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DR. PETE: Doctor Lauren Pete, New York
10 Hospital Association. The association represents
11 hospitals and nursing facilities in the New York
12 City area and the surrounding community including
13 Nassau County and Westchester.

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As I said, we represent over 17,000 beds
15 in the metropolitan area, non-profit facilities.
16 It is not a proof of discrimination of nursing
17 facilities and we do not have a policy of
18 discriminating against minorities. However, in
19 response to Mr. Kennedy's comments, I must say
20 that I would like to see some of the statistics or
21 some of the figures upon which you base your
22 generalizations. Perhaps you have them available
23 but not at this time. We're prepared to give the
24 details because I have a problem with dealing with
25 some of your comments when you start off by saying

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2 that nursing homes don't have statistics on the
3 racial breakdown on residents and their
4 facilities.

5 If that's true, how can we determine one
6 reason why we don't determine whether or not
7 discrimination is actually going on. Is it based
8 on the statistics we have? If we don't have
9 statistics, how are we jumping to the
10 generalizations you are making? That was my first
11 and major comment. If we don't know who is in the
12 homes, how do we know someone is being
13 discriminated against? Talking about
14 discrimination against any group, age,
15 ethnicities, it's difficult to make because it's
16 one--

17 MR. KENNEDY: I think you may have
18 misunderstood. I did not say that nursing homes
19 don't keep statistics. I said that in many
20 instances, they are not maintaining applicant flow
21 statistics, which is the number of people who
22 actually apply for services or entry to the
23 nursing home.

24 DR. PETE: I still would need that too.

25 MR. KENNEDY: It's only after they have

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2 contact with your office, for example, that many
3 of them are required to do so. In terms of the
4 representation in the nursing homes, they do
5 maintain the actual number of individuals by race
6 and sex within the facility itself.

7 DR. PETE: That doesn't answer my
8 question because you said you had only less than
9 ten complainces over the last five years.

10 MR. KENNEDY: Less than ten complaints.

11 DR. PETE: I still don't see how we are
12 determining a discrimination is going on. It may
13 be true what you are saying -- I don't know where
14 -- I don't know where you found out that nursing
15 homes have been told by their attorneys not to
16 keep these numbers. I assume you can back that up
17 with documentation. I don't know. I have never
18 encountered that. I simply don't know. I'm
19 concerned that we don't learn from generalizations
20 without making some kind of attempt to be a little
21 more specific; otherwise, how can the industry
22 look at themselves, for one thing, and if
23 something is indeed wrong, correct it, when we're
24 dealing with big generalizations. For instance,
25 some of the things you mentioned afterward, you

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2 said that nursing homes were using other things
3 like the kind of services that minorities may
4 need, like deventilators.

5 DR. NISHI: If you have other question,
6 you have to be brief.

7 DR. PETE: I have a number of points
8 that Mr. Kennedy had made that I would like to
9 have some kind of documentation or support or I
10 wondered where he got the generalizations from.

11 DR. NISHI: If you wish to communicate
12 further though, we'd we happy to receive
13 communication to be included.

14 DR. PETE: Are your comments going to be
15 given to the committee?

16 DR. NISHI: Your comments have been
17 transcribed. The members of the panel, of course,
18 do have some questions.

19 MS. CIPRICH: I'll pass.

20 MR. COX: I'll pass too for now.

21 DR. NISHI: I have a question. Of
22 course, it was rather sounding to hear there were
23 ten complaints and were you speaking in the period
24 of a year.

25 MR. CARTER: That's within five years.

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DR. NISHI: A five-year period. I understand. What were the outcomes of these ten complaints?

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MR. CARTER: First, let me clarify the fact that the ten complaints Mr. Kennedy speaks of, they are services. Admissions, alleged admissions. We have, over that same five-year period received and processed, oh, I would have to say at least several dozens of complaints in the area of employment that relates to nursing homes but in the area of services, the complaints have been ten. The outcomes in those cases have been resolved.

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DR. NISHI: What was the nature of the resolutions?

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MR. CARTER: The nature of the resolutions varied. In some case, it addressed policies reflected based on race, color or handicap. In other cases, it may have been a series of procedural violations, such as several areas under Section V or IV of the Rehabilitation Act which requires certain kinds of services to the sensory impaired.

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DR. NISHI: They came under compliance.

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MR. CARTER: OCR, in most cases, prior to the completion enters into a compliance agreement with most of these facilities. One of the things we attempt to do is really to bring them into compliance and if that means through their volunteer effort to agree to a particular corrective action plan, that's what we're concerned with about.

In most cases, it is getting resolution regarding the individual particular complaints as well as making sure that any policies or practices need to be modified or changed or implemented are, in fact, put in place.

DR. NISHI: I would like to pursue another area. The number of complaints filed is not indicative of the extent as it is perceived that there are more instances of unequal treatment. Would you care to comment as to what factors are at work here which keep down the number of actual complaints filed?

MR. CARTER: I would have to say that, Number 1, I think, in many cases, it's an absence of knowledge in terms of the whole beneficiary population that we tend to serve throughout New

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2 York/New Jersey and the Virgin Islands. We have,
3 through the period 1983 to 1987, conducted a
4 number of workshops and conferences and seminars,
5 both addressing the beneficiary population as well
6 as the recipient population. Our attempt there
7 was to try to increase the knowledge on both sides
8 of both rights and responsibilities.

9 Yet, at the same time, you would think
10 almost that there might result from that same
11 additional kind of complaints. Yes, complaints
12 did come back to us but, as I mentioned earlier,
13 most of those complaints were in the area of
14 employment as opposed to services. So, I would go
15 further to say that the absence of a body of what
16 the roles are of many individuals, I think in many
17 more cases than not, played a major part in the
18 absence of numbers of complaints that you would
19 think we would normally receive.

20 MR. COX: When you talk about
21 complaints, you are talking about a particular
22 legal procedure, filing of documents of some
23 kind?

24 MR. CARTER: Well, it doesn't -- I
25 really wouldn't necessarily say it constitutes a

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2 legal procedure in the sense of documents. We
3 receive, Number 1, it can be a phone call that is
4 subsequently turned into a letter basically
5 identifying certain things we need to constitute a
6 complaint but it doesn't really get into the full
7 realm of a real document as it may.

8 MR. COX: At some point it may?

9 MR. CARTER: Yes.

10 MR. COX: And gives rise to litigation
11 maybe?

12 MR. CARTER: Yes. For example, both OCR
13 as well as other state agencies may be involved
14 jointly in investigations. Several of those have
15 resulted in formal agreements between a recipient
16 institution and both respective parties.

17 MR. PADILLA: I wonder if you will be
18 able to provide the committee with more statistics
19 filed about the situation so we could then analyze
20 better and make some judgments?

21 MR. KENNEDY: What would be the nature
22 of the statistics you are seeking?

23 DR. NISHI: In terms of the breakdown;
24 in terms of protective categories of participation
25 and various kinds -- various classes or categories

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2 or types of nursing home facilities. Data with
3 regard to the complaint and their resolutions.

4 I'm sure that the complaint process, in
5 the case of violation, if the perceived violation
6 of civil rights resulted in a language and painful
7 process and that for so many, there is a
8 perception that the process does not produce what
9 they had hoped might occur. So that it is of
10 interest to us to know what the outcomes were of
11 the complaints that were filed.

12 MR. MURRAY: I'd like to know what area
13 of the state those complaints come from.

14 MR. CARTER: The ten complaints that I
15 mentioned would cover the entire Region II area.
16 That would be New York/New Jersey, Puerto Rico and
17 the Virgin Islands.

18 MR. COX: All of New York State?

19 MR. CARTER: Yes.

20 MR. COX: Was there any particular
21 concentration?

22 MR. CARTER: No. To the best of my
23 knowledge, we're talking about a five year period
24 but to the best of my knowledge, at this point, I
25 do not believe there was any concentrated area.

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DR. NISHI: We'll appreciate⁶ any data
you can provide to us.

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MR. MURRAY: I was a little bit
concerned about your comment that if a nursing
home were to rely on Medicaid or any governmental
reimbursement that that degree of reimbursement
would be perhaps less than they might get from
private insurance and therefore, the quality of
service that might be provided might be less
good. That may very well be true.

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I'm concerned that if that's the case,
whether there is any effort being made to try to
provide endorsements for people to provide
services in areas where they are likely to be
dealing more with poor people than with wealthy.

In New York City, at least, poor people
tend to be more colored than Caucasians. Just and
as example and this isn't a successful one but the
idea was good. In New York City, the City
hospitals have affiliations, for the most part,
with university training centers for medical
students and doctors that allows what we hope are
good quality doctors to practice medicine in
settings where poor people are being taken care of

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2 and I'm wondering whether the government has done
3 anything to encourage comparable kind of arguments
4 where the care for elderly people, which is
5 obviously going to be more and more of an issue
6 when people are living longer and longer, where
7 the care for them is -- the quality of the care
8 for them is encouraged to be at a higher level by
9 this kind of an affiliation agreement. Has
10 anything been done to encourage that sort of
11 approach?

12 MR. KENNEDY: I can't speak for the
13 department in that area. There may be programs in
14 the department that address the particular points
15 you are making. Our office is directly concerned
16 with the enforcements of the civil rights laws to
17 the extent they would be a broad base program we
18 can be responsive to. I can't. I might also add
19 the one point that I think I was trying to make
20 there was, that, in many instances, the nursing
21 homes themselves talk about the difficulty they
22 have in attracting professional staff and also in
23 paying a salary commensurate at the lower levels
24 to an array of workers on board.

25 MR. MURRAY: In essence, you respond to

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2 complaints that are perceptions of poor service or
3 poor something or discrimination by individuals
4 approaching a nursing home? My concern is that
5 perhaps the level of care, in general, is not as
6 good in certain homes or certain areas as it could
7 be and that perhaps this kind of arrangement might
8 proactively say bad care.

9 MR. KENNEDY: I can't answer that.

10 MR. COX: The State pays a lot of money
11 every year which is done through the area
12 offices. I was wondering whether it disturbs you
13 and Mr. Carter that, based upon these annual
14 inspections of nursing homes in the State, the
15 State has never once conducted a thorough
16 investigation of civil rights violations, has
17 never once conducted enforcement action and has
18 never once in history actually found any New York
19 State nursing home for noncompliance with the '64
20 Civil Rights Act despite the fact that you are
21 paying them millions of dollars over these years
22 to conduct these annual surveillance reports?

23 MR. KENNEDY: I think and I can speak
24 from my experience of having worked in other
25 regions, I think it is a concern and one of the

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2 ways in which we try to address that concern is to
3 begin to share the responsibilities of the civil
4 rights responsibility with the State agencies.

5 Quite frankly, the performance of the
6 states have not been that good and for that
7 reason, we have to rely upon the third component
8 which is the beneficiaries themselves coming to us
9 to tell us because for all the surveys that are
10 done, I have not had anything that referred to me
11 and I have worked in several regions.

12 MR. CARTER: I would like to add one
13 comment; while the numbers of actual complaints
14 have been low in this region, again, for the past
15 five years and perhaps for a longer period of
16 time, one of the things that we've attempted to do
17 quite successfully during the same period of time
18 is to conduct what we call project reviews whereby
19 we identify maybe 25 or 35 nursing homes around
20 the region, primarily in New York and New Jersey,
21 and we target those nursing homes for what we call
22 single issue reviews where we have found patterns
23 and problems.

24 In most recent years, most of those
25 problems have been in the section of 504. We have

1

2 conducted numerous reviews and I can provide the
3 data to that, that in over ninety percent of the
4 project reviews we have taken action.

5

DR. NISHI: Thank you, Mr. Carter. We
6 thank you very much, Mr. Kennedy and Mr. Carter
7 for being here.

8

We will now hear from Carmen Cunningham
9 who is the president of the Affirmative Action New
10 York State.

11

MR. CARTER: Good afternoon, Madam
12 Chair, members of the advisory committee, fellow
13 presenters and guests. My name is Carmen Vinales
14 Cunningham. I am the Director of Affirmative
15 Action Programs for the State Office for the Aging
16 which is located in Albany. On behalf of Ms. Jane
17 Gould, the State Office Director, we welcome this
18 opportunity to speak to you today on problems
19 relating to access to longterm care, particularly
20 nursing home care experience of older minority
21 residents of New York.

22

I would like to begin my comments by
23 distinguishing the role of the State office for
24 the Aging in relation to the focus of your study
25 on longterm shelter and nursing care for the

1
2 minority aged. As the designated State Unit on
3 aging by the Administration on Aging, the State
4 Office is charged with advocating for the
5 development, coordination and administration of a
6 comprehensive delivery system for the over 300
7 million elderly New Yorkers. With primary Federal
8 funding under Under the Older Americans Act, as
9 well as the State and local funding, the State
10 Office advocates for and serves elderly New
11 Yorkers through program, policy and legislative
12 initiatives. The State Office oversees 59 local
13 offices for the aging which includes two that are
14 on Indian reservations. One is the St. Regis
15 Mohawk Reservation and the others is Seneca Nation
16 of Indians; both of upstate. An important role of
17 the State Office through the aging network, is to
18 promote and advocate for longterm care services.
19 The escalating demand for longterm care services
20 coupled with government's increased desire to
21 expand and improve community based services -
22 places the State Office for the Aging in a
23 challenging and new dawn. Over the past few
24 years, our mission has expanded to include not
25 only those elderly that are in need but

1
2 acknowledge and address the needs of their
3 caregivers as well. The State Office is committed
4 to expand community and home based longterm care
5 services which are designed to avoid or prolong
6 the institutionalization of an elderly person. To
7 this end, our Office has limited programmatic or
8 policy authority in nursing homes or other
9 custodial longterm shelters. Our Office does
10 however, administer an ombudsman program through
11 through local sponsorship for licensed Long Term
12 Care facilities. The longterm care ombudsman
13 program provides opportunities for residents of
14 longterm care facilities and their families to
15 voice problems and develop solutions. The State
16 Office and the 39 local ombudsman program
17 sponsors, advocate for nursing home residents.
18 Ombudsman receive, investigate and resolve a wide
19 range of concerns and complaints about the
20 conditions in longterm care facilities. A future
21 possible policy area for the State Office to
22 consider is the development of an appeals
23 mechanism for nursing home denials to work in
24 close cooperation with ombudsman programs.

25 As I mentioned to Mr. Calabria,

1
2 statistics regarding the composition of New York
3 nursing home population by ethnicity is the
4 information that is not compiled by our Office- as
5 we are charged with advocating for and bringing
6 services to the noninstitutionalized elderly, with
7 the exception of the aforementioned Ombudsman
8 program. However, that data can be obtained by
9 the Department of Health and I was told that a
10 person of the Bureau of Health Facilities
11 Coordination has appeared before you regarding
12 that data. The lack of constant data hampers
13 policy development for the longterm care system.

14 It is my understanding that the
15 Department of Health nasa patient review
16 instrument for nursing facility residents which
17 was developed as a tool for determining nursing
18 home case my reimbursements. This is the only
19 document to provide a computerized profile of
20 recipient characterization.

21 This document has been examined by the
22 State Division of Human Rights regarding the
23 legality of ethnic data collection. And I was
24 hoping that the presenters that were scheduled
25 before me would be able to speak about the status

1
2 of that.

3 The issues of economic and racial
4 discrimination in accessing aging services,
5 particularly longterm services which can be more
6 costly and needed for many minority elderly have
7 major policy implications for government agencies.

8 In New York, there are a variety of
9 cross cutting policy and administrative issues
10 among agencies regarding the development and
11 delivery of longterm care services to the
12 elderly. As I mentioned earlier, the State
13 Department of Health has the primary regulatory
14 authority over the administration of hospitals and
15 nursing homes, including the admission process.

16 The State Department of Social Services
17 has the responsibility of overseeing the
18 implementation of the Medicaid program which
19 covers a significant portion of the total patient
20 health care expenses incurred in our hospital and
21 nursing homes. Out of necessity, the Medicaid
22 program has become and remains the primary vehicle
23 to meet longterm care needs. As the recipient of
24 federal Medicaid funds, the Department of Social
25 Services has the definitive civil rights

1
2 enforcement obligations required by Title VI of
3 the Civil Rights Act of 1964.

4 The targeting of services to those
5 elderly in greatest social and economic need with
6 particular attention to low income minorities is a
7 mandated regulation pursuant to the Older
8 Americans Act and is a priority at all levels of
9 the aging network, national, state and local.

10 It is our targeting initiative that
11 strives to increase the participation and
12 representation rates of minority elderly in aging
13 service programs. Among the many steps taken to
14 increase access and information from the minority
15 elderly, the State Office has established a
16 Statewide Committee on Minority Participation.
17 This 15 member committee has representatives from
18 committees across the State, of differing cultural
19 and ethnic backgrounds and with affiliations as
20 retirees, academicians, lawyers, service providers
21 and minority agent advocates organizations. It is
22 the charge of this committee to assist Director
23 Gould in identifying barriers and formulating
24 strategies to address the situations which we
25 restrict equal access to minority elderly and

1

2 their families including access to nursing homes.

3

4 For example, one of the members of the
5 Statewide committee is a senior citizen who is a
6 native American and she has brought to the
7 attention of the committee the unique health
8 problems of Indians, whereby Indians age 45 and
9 over exhibit many health related characteristics
10 of the white population 65 and over and she would
11 like the committee to have Indian access to
12 nursing home care and explore the necessary
13 requirements for the development of a nursing home
14 on her reservation in upstate New York.

14

15 The State Office for Aging was actively
16 involved in the New York State Nursing Home Task
17 Force which issued a report in June of 1986 and I
18 feel that that report has a lot of valuable
19 information so that if you don't have it, I'll be
20 sure forward you a copy of it.

20

21 That report included specific
22 recommendations regarding discharge planning and
23 admissions to nursing homes. Also recommended was
24 the establishment of a data base on referrals and
25 admissions to document if, in fact, systematic
discrimination based on race for nursing home

1
2 admissions exist. The Task Force, consisting of
3 representatives from the Department of Health,
4 Office for the Aging, Division of Human Rights and
5 friends and relatives of the institutionalized
6 aged was a result of a report which was released
7 in 1984 by an advocacy agency named Friends and
8 Relatives of the Institutionalized Aged which
9 alleged racial discrimination by hospital
10 discharge workers and hospital personnel resulting
11 in segregation in nursing homes in New York City.
12 The task force report revealed low minority
13 resident representation in nursing care
14 facilities.

15 Based upon subsequent documentation and
16 research on minority elderly matters such as that
17 from the Minority Elderly Report which was
18 forwarded to this committee in October of this
19 year, we know that minority persons have a higher
20 prevalence rate for chronic disease and a higher
21 level of impairment or frailty than same age
22 whites. Therefore, the likelihood for the need
23 for longterm care services may be higher as well.

24 As a member of the Task Force, the State
25 Office became aware that representation of

1
2 minority elderly in nursing homes was
3 disproportionately low and has supported
4 departmental bills from Health and legislative
5 proposals that have been designed to address this
6 problem.

7 For aging services and nursing home
8 care, the reasons for problems with access by
9 minority elderly and their families are varied and
10 well documented. In the interest of time, I will
11 not attempt to list all the barriers that research
12 and common sense have identified as impediments to
13 minority use of certain services. However, I feel
14 it is important to note that in the absence of
15 hard core data on discrimination complaints
16 regarding access to nursing homes, which may be
17 available to you by the Division of Human Rights,
18 there are systemic problems regarding access.
19 These apparent problems or barriers do not apply
20 to access to nursing homes but to health and
21 social services for the elderly. These include
22 categorical situations that have, to some extent,
23 been institutionalized into potentially
24 discriminatory practices by the nature that they
25 have the effect of creating adverse impact on

1

2 minority utilization patterns.

3

4 These access and coordination issues
5 include the perception of health care providers
6 that all minority families tend to or prefer to
7 take care of their own, even in the neediest of
8 cases, without regard to individual circumstances,
9 the lack of awareness by many minority older
10 persons of existing long term care services and
11 how to navigate through bureaucracies and
12 entitlement programs to obtain the needed services
13 and the uncomfortable and inadequate or lack of
14 communication between minority elderly or persons
15 acting on their behalf and health care providers.

15

16 In these situations, oftentimes cultural
17 differences or socioeconomic differences relating
18 to communication and expression, exclusive of
19 language barrier, may include such things as tone
20 of voice, use of hands when speaking, lack of eye
21 contact on the part of minorities and lack of
22 cultural sensitivity on the part of the health
23 care provider contribute to inadequate access to
24 services when the best care plan is not the end
25 result.

25

Also, it cannot be overstated that many

1
2 elderly minorities have experience or have
3 memories of discrimination with government
4 agencies in the past and have culturally based
5 fees regarding divulging personal information.
6 This, of course, impacts on access as it is
7 presently structured. Issues of racial disparities
8 and medical coverage are presently being examined
9 by the National Senior Citizens Law centers and
10 other advocate groups across the country.

11 As you know, the lawsuits in Tennessee
12 and Pennsylvania have sparked a reaction
13 throughout all states to determine what extent, if
14 any, state policies that allow Medicaid
15 discrimination violate the civil rights law as
16 well as the Medicaid statute. The major
17 significance of the Linton versus Tennessee case is
18 the finding that discrimination by health care
19 providers against Medicaid patients has a
20 disparate impact on minorities. What we hear from
21 these cases is that there is a definite
22 correlation between poverty levels, ethnicity and
23 Medicaid status. Unlike Tennessee, New York State
24 does not allow limited bed certification which was
25 the catalyst of the Linton case. The Office for

1

2 the Aging as an advocate for the elderly
3 population will follow developments in data
4 analysis regarding New York State and whether and
5 these issues.

6 The State Office for the Aging has
7 expanded its advocacy role over the years to
8 better address the program implementation needs of
9 the elderly that tend to get lost in the larger
10 human services agencies. Recognizing the personal
11 and characteristic needs of the elderly, including
12 socioeconomic and ethnic differences, has given us
13 an advantage to strategize on age discrimination
14 problems faced by the elderly in accessing
15 services. The mounting costs for nursing home
16 care and the commitment to helping older persons
17 stay at home has encouraged state government and
18 public agencies to reconsider the design of long
19 term care services and how they are accessed.

20 Governor Cuomo, in his 1991 State of the
21 State message, directed our office to examine the
22 feasibility of implementing a program known as
23 Managed Access to Aging Services or MAAS. This
24 program would establish to a single point of entry
25 to longterm care for the elderly in New York

1
2 State. The key features of MAAS include the
3 consolidation of responsibility and authority for
4 managing the elderly's access to longterm care
5 services within the State Office for the Aging and
6 the designation of local longterm care case
7 management agencies with the responsibility for
8 assessing the elderly's need for longterm care,
9 determining the most cost effective method for
10 responding to those needs, authorizing last resort
11 public payment for needed services and providing
12 ongoing case management to monitor the provision
13 of services and make adjustments in care plans as
14 appropriate.

15 In its totality the MAAS proposal
16 embodies a basic change in longterm care
17 responsibilities. There will be, for the first
18 time, a visible point of accountability for all
19 longterm care access issues for older New
20 Yorkers. The implication for increasing access
21 too longterm care for minorities will be factored
22 into the MAAS program. As newly developed
23 agencies - cultural diversity issues will be an
24 integral part of program planning and training for
25 MAAS entities. The proposal will also provide for

1
2 a data base regarding referrals to nursing home
3 facilities. It is clear from the cases that are
4 surfacing regarding discrimination in accessing
5 nursing home care - that the components of a
6 longterm system must address the complex issues of
7 potential Medicaid discrimination and any other
8 discrimination which violates their rights of low
9 income and minority elderly.

10 As the designated State Agency for
11 elderly New Yorkers, the State office commends the
12 work of this committee in examining these issues
13 relating to minority elderly and longterm care
14 access.

15 DR. NISHI: We thank you very much. I
16 have a question. With regard to ethnic data
17 collection, I know that this has often been a
18 problem in terms of admissions. Other than
19 without data, it's extremely difficult to monitor
20 whether access is there. Now, it's my
21 understanding that that is not available but that
22 the ethnic characteristics of the nursing home,
23 longterm sheltered care population is available;
24 is that correct?

25 MS. CUNNINGHAM: Not through our

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2 sources.

3

DR. NISHI: Was is not?

4

5 MR. AMBERS: A recommendation of the
6 State Nursing Home Task Force in 1976 that was
7 adopted by the State Hospital of Human Planning
8 Counseling was that nursing homes would be
9 required to keep there -- as part of their
10 application process, the PRI which is a form that
11 comes with an application to a nursing home that
12 has racial and ethnic data on the PRI, to keep
13 those applications in a chronological order so
14 that within the nursing home would be a record,
15 you know, that was compilible of PRI data that had
16 the race of ethnicity of every applicant.

17

18 DR. NISHI: What happened to that
19 recommendation?

20

21 MR. AMBERS: That was passed by the
22 State Hospital for Planning. Do the nursing homes
23 maintain such records.

24

25 MR. MURRAY: I don't know if it's
important.

26

27 MS. CUNNINGHAM: Concerning the PRI that
28 is still being used by the Department of Health;
29 however, the Division of Human Rights is seeing

1
2 the legality of that document being used prior to
3 the nursing home person actually showing up for a
4 bed. They don't want that document which
5 identifies ethnicity to be at the nursing home
6 before the person shows up.

7 MR. AMBERS: That's the only thing that
8 would indicate, though that is available. That's
9 why it is an important document.

10 DR. NISHI: Explain to me what the
11 difference is in this data keeping issue as it
12 pertains to race, ethnicity as employment data,
13 like the EO required reports do require that the
14 person's gender, ethnicity, etcetera and that it
15 be maintained and reported? EO reports do require
16 that. What I'm saying is that nonetheless in the
17 application procedure such data, as well as a
18 large number of other characteristics, cannot be
19 accessed such as family, state. Why cannot the
20 same principle be applied here?

21 MS. CUNNINGHAM: Because the nursing
22 home is considered a housing provider and so in
23 terms of the State, law prohibits in housing
24 discrimination, in housing and so that's the
25 distinction between employment.

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2

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DR. NISHI: Have there ever been used
testers as in housing discrimination cases?

4

Testers in terms of nursing home applications?

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MS. CUNNINGHAM: We've not used
testers. In fact, we had discussions this last
calendar year about that very approach in some of
the investigations.

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DR. NISHI: As far as you know, it has
not been used since there has been that data
collection problem. Of course, in other
institutional areas, some other processes have
been used to seek to documents. That is a widely
held perception of inequity. Thank you. Are
there any other questions for members of the
panel?

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MR. AMBERS: I want to know if you are
aware of the fact that in the Department of Health
there are six areas that have the responsibility
of monitoring Title VI compliance and there are
supposed to be six Civil Rights compliance
offices. Three of those positions are currently
vacant and been vacant for a long time and the
State is threatening to do away with the other
three positions. Are you aware of that?

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MS. CUNNINGHAM: I mentioned that in terms -- I know I mentioned DSS has the responsibility. But I think what Mr. Kennedy spoke of earlier was in terms of the vacancy and having personnel in those positions. We're all feeling the crunch of the budget crunch and it doesn't surprise me that the positions are vacant.

MR. MURRAY: I was going to ask it sounds like your agency is doing a commendable job in trying to protect the rights of elderly people of whatever ethnic group. On the other hand, we have a record of ten complaints in the last five years in this whole region. Has anything happened via your agency to prevent complaints from getting beyond the agency? That is a big success, that may be that it is why so few get into the Federal Government.

MS. CUNNINGHAM: I think personally elderly people tend not want to complain and not file complaints. That is very realistic of the low numbers. In terms of complaints handled by our office, the omnibus program handles complaints of residents in the facilities. I don't think that's the same nature of complaint that was

1
2 referred to earlier.

3 DR. NISHI: I have a question here. If
4 they receive federal funds and my assumption is
5 that all of these agencies, if they get Medicaid
6 they get federal funds so they must be in
7 compliance with the requirements of law; if this
8 be so, do private nursing care facilities, for
9 example, under sectarian auspices, are they
10 permitted to give preference to their own sect?

11 MS. CUNNINGHAM: Yes.

12 DR. NISHI: Even in foster care, because
13 it uses public money, even though foster care is
14 under sectarian auspices, they are not allowed to
15 give preference to their own religious group.
16 What is the division here?

17 MR. YOUNG: I'm Carl Young.

18 DR. NISHI: Do you plan to speak to this
19 point?

20 MR. YOUNG: Not at length.

21 DR. NISHI: Perhaps we can ask you
22 again.

23 MR. AMBERS: The 1964 Civil Rights Act
24 the section of what we're talking about. Section
25 VII doesn't deal with discrimination based upon

1
2 religion. So that religious based nursing homes
3 are free to discriminate and give preference but
4 part -- the problem that Freia (phonetic) pointed
5 out in the '64 report is that a lot of religious
6 based nursing homes use this aren't truly
7 religious based nursing homes but they will accept
8 white applicants from other religions and exclude
9 minority people. Then we alleged that they waived
10 their exception as religious based organizations.
11 They went away from the group that they purported
12 to represent.

13 MS. CUNNINGHAM: That was offered as
14 part of a justification in the Pennsylvania case,
15 that many of the segregating nursing homes are, in
16 fact, religious.

17 DR. PETE: Just to comment on that
18 point, I think some times there are many factors
19 that are considered when someone comes into a
20 nursing home and when you line up the important
21 criteria part, religion and ethnicities are way at
22 the bottom because nursing homes in the New York
23 City and surrounding areas have more of a problem
24 in trying to maintain a high quality of care for
25 their residents based upon the reimbursement

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2 figure and that is the major issue for nursing
3 homes. I'm not saying there is no discrimination
4 in New York City or State but in terms of the
5 discharge, focusing is on level of the care that
6 New York has for that particular resident and when
7 you look at the PRI, there are many categories
8 that help make that determination.

9 When I talk to these people, that's what
10 comes out; that's the problem in getting them in.
11 Otherwise, you have minority residents backed up
12 in hospitals, which you don't have; you have
13 patients backed up in hospitals where the
14 reimbursement system simply cannot operate and
15 that's where you are getting people backed up. If
16 you can show me the hospitals are full of minority
17 patients who cannot get into nursing homes simply
18 because of their race, that's one thing. That's
19 not what you're going to find. There are people
20 and alternate levels of care and waiting for
21 different kinds of longterm services because of
22 the nature of the care that they can't meet at the
23 nursing facilities. It's a matter of
24 reimbursements. With the Governor's new
25 reductions to Medicaid, it's going to get worse.

1

2 I think it's aiming to take focus on religion or
3 ethnicity in terms of what is in the nursing home
4 as a factor; who is going to have access to a
5 nursing home without understanding the many
6 factors that go into a decision.

7

DR. NISHI: We understand that. It is

8

our charge to examine.

9

DR. PETE: You have to examine that

10

amongst other issues.

11

DR. NISHI: That's why we have invited

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you to be present and advise us with regard to

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this. You are indicating to us that if there is

14

inequity in availability of nursing home care,

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that it is an issue related to differences in

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reimbursement availability.

17

MR. YOUNG: That's a major factor.

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DR. NISHI: Are there institutionalized

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racial ethnic task forces that are associated with

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this difference in the reimbursement factor which

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obviously must be related as well as to their

22

socioeconomic accumulated resources?

23

MR. YOUNG: I think the short answer is

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probably not.

25

DR. NISHI: There is not an economic

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2 factor associated to reimbursements?

3

MR. YOUNG: No.

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5 DR. NISHI: Reimbursement is based on
6 the kind of insurance people have, etcetera.

7

8 MR. YOUNG: Two sources of payment in New
9 York State. Private pay or Medicaid. Medicare is
10 such an insignificant player in New York that we
11 need not consider it. In fact, as confirmed by a
12 study that the State authorized in 1990, it was
13 done in '89 using 1988 data, 70 percent of the
14 nursing homes in the State are losing money on
15 their Medicaid patients. This is State sponsored
16 survey. Since 1988, the State has taken \$144
17 million out of the system through adjustments. The
18 picture that this study act documented in 1988 has
19 gotten worse and you, as a provider, a Medicaid
20 patient who is presented to you is automatically a
21 financial loss for you. So the only way you can
22 stay in business, whether you are a priority
23 facility or for a profit, is to take a person and
24 charge higher.

25

MR. AMBERS: That's in dispute.

26

27 MR. MURRAY: I was going to suggest that
28 if there is an implication that Medicaid patients

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2 or people of minority ethnic groups that have more
3 complex medical conditions and require more
4 complex services. If that's the case, then the
5 State's system discriminates by not allowing
6 appropriate reimbursements for nursing homes.

7 MR. YOUNG: The reimbursement system is
8 at least intended in its structure to address
9 severity of need. There are 16 categories; they
10 are called resource utilization groups and they
11 identify 16 levels of patient needs and your
12 reimbursement is tied to that need. The higher
13 the patient need, the more you're reimbursed for
14 that patient. What this has done is make the more
15 needy patient more attractive to the provider.

16 An interesting phenomena occurred since
17 RUGS went into place. Public run facilities used
18 to have the most severe patients because the
19 system was a per diem rate of reimbursements. The
20 sicker patients were less attractive because they
21 required more care and the providers of last
22 resort, historically, have been the public
23 facilities. The public facilities generally had a
24 higher level of patient intensity. When the RUGS
25 system came in place, giving greater financial

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2 regard for them, what you've seen happen is the
3 public facilities continued to lose money because
4 they can't get the really sick patients. They are
5 still the provider of last resort and the "least
6 desirable" and I use those word in quotation
7 marks, patient is that that lower level of care
8 patient for whom you'll get less reimbursements.

9 Now, there are, I think all the
10 providers would argue and many advocates as well
11 that the RUG system is not perfect for that very
12 reason. It directs some disincentives for people
13 who have need but they are no longer attractive as
14 residents and secondly, the criteria on which the
15 categories were based aren't necessarily valid
16 today.

17 For instance, the Alzheimer's patient
18 has a low RUG score and they are labor insentive.

19 DR. NISHI: I wonder whether we should
20 have your presentation now or should we continue
21 with the questions.

22 Do we have any further questions to Ms.
23 Cunningham?

24 DR. PETE: You mentioned the MAAS
25 proposal. I got the impression that your

1
2 interpretation of how this proposal may go forward
3 is that you might be considering using the MAAS
4 proposal as a way of rectifying what may be
5 perceived as discrimination in terms of this
6 single access point if, let's say, in access point
7 or longterm services, would you also be using race
8 as a determination? For instance, there is the
9 Medicaid access before the State hospital and
10 Urban Planning Council that would provide for
11 patients do have access to nursing homes, would
12 this be the kind of MAAS single entry point you
13 would also use race to say, well, we have three or
14 four minority residents here who need nursing
15 facilities; we know you have a certain number of
16 beds, take them.

17 MS. CUNNINGHAM: No. The proposal at
18 its stage, those kinds of processing questions
19 have not been fine tuned. When I referred to a
20 determination of cultural diversity and race in
21 the MAAS entity is basically as a new -- I don't
22 want to use the word -- as a new agency. The
23 potential there is to build into the training and
24 the plank of the MAAS, to build into cultural
25 sensitivity in terms of staff not in terms of

1
2 saying we have three minority elderly that need
3 care.

4 DR. NISHI: Are there any other
5 questions? I regret to have to announce that Dr.
6 Nadia Martinez, the executive assistant to the
7 commissioner in the New York State Division of
8 Human Rights, who has been involved in the major
9 research on minority elderly and nursing home
10 care, regrettably she is ill so she is not going to
11 be able to appear.

12 Judge Albert Kostelney has been called
13 to an emergency meeting and will not be able to
14 attend. I'm sorry to have to announce this. They
15 will, however, be communicating with us in writing
16 so that we will have their testimony as well as
17 their documents which will be incorporated into
18 the record. I think we will proceed to Mr. Carl
19 S. Young who is the president of the New York
20 Association of Homes and Services for the Aging.

21 MR. YOUNG: By way of introduction, our
22 association represents the not for profit and
23 public providers for longterm care. We represent
24 approximately 400 institutions statewide, about
25 250 to 260 nursing homes.

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MR. COX: Not for private, meaning?

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MR. YOUNG: 70 percent of our members are religious based, 20 percent of the public and the balance are community based. We make the distinction between not for profit and non-profit. Not for profit, we believe, is the term. The purpose of your members is that if there is black ink at the end of the year, the money goes back into the institution. While our primary mission is the elderly, longterm care is expanding and our members include providers who, for instance, have AIDS or are AIDS positive. There are people with disabilities who are elderly but are receiving care. We have decided -- In fact, we went through a process, does this change our mission with this changing population and we have decided that not really, not the word aging; it is all encompassing and we all begin that process at birth. The issue of minority access to health care services is interesting in the sense that in nursing homes themselves, the percentage of admits, as a part of the total admissions, is just about equal to the minority percent of the population statewide.

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According to the Civil Rights survey, the Department of Health in 1990, a little over 13 percent of the nursing home admissions were to minorities.

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DR. NISHI: This is in New York State?

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MR. YOUNG: Right. Were to minority citizens. A point that was made earlier, the often --

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DR. NISHI: Can you give us the full cite on that because we want to make sure we have that?

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MR. YOUNG: Department of Health and Civil Rights Survey for 1990 and it's slightly more than 13 percent of the admissions. The point that was made here earlier among the minority population may have overall likely, do have lower overall health conditions and the need may be higher is something you have to weigh in when you take that figure into account.

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Also, it's interesting, 13 percent of the over 65 population is minority in New York at this juncture. I'd like to talk about access issues from two perspective, one, is it for minorities to become providers? Our organization

1
2 has been working about a Baptist church in Buffalo
3 which is in the process of buying -- it's a Jewish
4 facility that is rebuilding a new facility and the
5 old one, they are willing to sell. They are up
6 against very severe problems, the least of which
7 is a State requirement for 10 percent equity just
8 to get your service need in the door. Generally
9 speaking around the state and particularly the
10 urban areas, cost of the land is virtually
11 prohibited.

12 Again, for minority based organizations,
13 the procurement of the necessary capital to get
14 under way is a real problem. Back in 1987, there
15 was a Baptist congregation in Brooklyn who had
16 their C of O denied by the State of the because of
17 the inequity. It is especially bad for minority
18 providers. Historically too, there has been a low
19 level involvement in longterm care from the
20 management perspective by minorities, for a
21 variety of historical reasons.

22 At the labor level, of course,
23 particularly in urban areas, you have a high
24 participation which is made orderly and so forth.
25 In terms of access to services, there are a

1
2 variety of factors and I think we touched on this
3 in the earlier session. One is bed availability.
4 Access is a problem in some parts of the State for
5 anyone and for minority people, that is depending
6 on where they are. The second thing I was going
7 to mention was geography. Access to a facility is
8 often very much a problem. Another condition
9 factor is the level of care needed.

10 As we mentioned earlier, the more severe
11 the need, the more attractive they are as a
12 potential resident. The method of payment is a
13 critical condition and again, as Dr. Pete alluded
14 to earlier, many of the proposals that are on the
15 table today to reduce Medicaid funding have the
16 net affect of making the Medicaid recipient who is
17 disproportionately a minority a less attractive
18 patient and, you know, even though our members are
19 church based and not for profit, not for profit
20 does not mean suicidal. You have to have a bottom
21 line or you are not able to carry on your
22 charitable purpose. You have to at least break
23 even.

24 I think I mentioned the Lewen (phonetic)
25 Study which was the State study -- it was either

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2 published in '90 or '89. I know they used 1988
3 data and they concluded that 70 percent of the
4 facilities of the providers are statewide. Now
5 that figure is even more difficult, more not for
6 profits, 85 percent of the not for profit lost
7 money on their Medicaid patients and 96 percent of
8 the public lost money on their not for profit
9 patients.

10

Obviously, on the priority side, there
11 was a better balance sheet on the Medicaid but
12 even though statewide, you have a 70 percent
13 figure of losing money on Medicaid. As we said,
14 if a Medicaid patient becomes less attractive, you
15 have to fill the gap with private paying patients
16 or more vigorous fund-raising by your foundations
17 or the other entities that people rely on or they
18 branch off to other services, like home care
19 and/or another level of service that might operate
20 to the blacks to subsidize though services.

21

There is a secondary issue. A good many
22 of the religious, there are also fraternal
23 organizations. The facilities reason for being is
24 to make sure the member of that religion or
25 benefits of that order, whatever it may be, will

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2 have access to long term care. And the Attorney
3 General ruled back in the mid- '80's, whenever
4 that study --

5

DR. NISHI: Is this State?

6

MR. YOUNG: State Attorney General, that
7 as long as the religious preference was indeed a
8 religious preference and not a tool to
9 discriminate on the basis of race, that it was not
10 law. I know at least a couple of our members
11 where this mandate from their charter actually
12 creates administrative difficulties. I won't
13 specify but it's one of your Jewish facilities,
14 not only charged to take primarily Jewish people
15 first but people of a particular sect and for the
16 administrator, this is a tremendous headache. He
17 said to me this practically means I'm going to
18 mandate; that means I lose. If I can't raise \$200
19 million a year on outside fund-raising, if you
20 narrow your focus, you can't see what else makes
21 that attractive.

22

In some aspect, this ties the hands of
23 the administrator. These institutions were formed
24 with a primary, race care for a particular
25 religious order. I think it's important that in

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2 this discussion to that, the Commission look
3 beyond just nursing homes and when I talked with
4 Tino, we discussed this a little bit, not only
5 this commission but longterm care has to address
6 the needs of the well elderly as well. We can't
7 afford the continued dependent care. New York
8 State is adding 5,000 bed this year. 6,000 beds
9 are scheduled to go on the system next year.

10 If you use the current rate, which is 83
11 percent patient beds for those eleven now beds, if
12 you don't raise reimbursements; if you keep a flat
13 for those two-year periods, the State is incurring
14 a \$120 million State shared expense at a time when
15 the State is already -- they are not looking to
16 spend more money, they are looking to cut it
17 back. If we don't develop other non-institutional
18 type things for the elderly, we're going to create
19 more unattractive medication and minority is
20 grossly inappropriate in that population and they
21 are going to have more access difficulties to
22 them.

23 New York State did adopt a program this
24 year. They still are waiting on regulations and
25 part of my soapbox has been they structured it by

1
2 failing to putting its administration in two
3 separate departments. That does not disparage
4 either department. You have two corporate
5 facilities and I know of no management principle
6 that leaves the buck two places to stop, which
7 means it will never stop.

8 New York State adopted Life Care Law two
9 years ago and tied it up with regulations and
10 there has been one applicant to do it and
11 secondly, the revenue requirements are so high
12 that the only people who will be able to use these
13 are the well to do. We're not really addressing
14 minority needs under the structure. Met these
15 types.

16 Again, I want to understand there is a
17 need to look beyond. We represent housing for
18 many of our members, provide senior citizens
19 housing. I think these are areas, if you provide
20 the housing, bring services in, relieve pressures
21 on the nursing home. To the extent you are
22 relieving, you're making access available for more
23 people. I know that this body is, I guess, going
24 to write a report with recommendations. I would
25 recommend for inclusion in the recommendations a

1
2 philosophical approach to the extent you can
3 recommend incentives for providers and for
4 committees as distinct from regulations to punish
5 bad behavior. If you create sentence for good
6 behavior, the response will be that much more
7 successful. I spent 16 years in government, in
8 county government and I know the impulse to
9 regulate.

10 DR. NISHI: What are the incentives you
11 are talking about?

12 MR. YOUNG: Inspection incentives. New
13 York State, I believe we could relieve a lot of
14 pressure on Medicaid if we have available in New
15 York State affordable viable longterm medical
16 insurance. What is happening is Medicaid is being
17 used by the middle class and well to do. The
18 phenomenon of asset divestiture is recently getting
19 much attention but the fact is law firms whose
20 sole practice is enabling middle class and well to
21 do people to divest their assets.

22 I know a case where a resident died as a
23 Medicaid patient and he had, in his estate, \$8
24 million. That means the taxpayer got to subsidize
25 the private inheritance of \$8 million. If we had

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2 a meaningful system of longterm care insurance
3 with incentive to buy it. For instance, tax
4 credits for people to buy longterm medical
5 insurance so that you could -- you wouldn't have
6 to shelter your assets. If you had this money you
7 can pass the assets onto the -- there is a Robert
8 Woods Johnson proposal which will move in this
9 direction but those kind of incentives. I'm not
10 suggesting regulation has no place in it but New
11 York State is probably the most regulated State.
12 New York State officials never met a regulation
13 they didn't like.

14 MR. AMBERS: Or enforced.

15 MR. YOUNG: Or enhanced if they got the
16 opportunities. There is a simplicity that if you
17 pass a regulation against this perceived wrong,
18 that somehow you will fix it. I know Macon is a
19 well deserved repute. He said that for every
20 complex problem, there is a number of simple
21 solutions and they are all wrong. Often, it's the
22 simple sounding solution.

23 DR. NISHI: I think any other
24 suggestions you may have with regard to incentives
25 that you think might work, as it often occurs, as

1
2 you gave an example with regard to changing the
3 reimbursement which meant that still, that the
4 inner-city house rules were not being -- I wonder
5 with regard to these institutions whose purpose
6 for establishment are to pay for a specific
7 population. To what extent are they subsidized?

8 MR. YOUNG: Depends basically to the
9 extent to which they rely on Medicaid as a payer.

10 DR. NISHI: Medicaid is the primary
11 source of the public assistance?

12 MR. YOUNG: Yes. Medicare is not really
13 a player in New York State.

14 MR. COX: I wonder if you have any
15 further comments on the issue that was raised
16 earlier about the -- what some might regard as an
17 astonishing low level of actual complaints entered
18 in this large area over a five-year period; that
19 is the experience of the association and
20 particular places you work with, is there-- let me
21 put it in this way, a large residual body of
22 complainants who are barred from articulating them
23 from these issues.

24 MR. YOUNG: I came in just after or
25 during that. What type of complaints are we

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2 talking about?

3

MR. COX: About admission, for example,.

4

MR. YOUNG: Minority access type?

5

MR. COX: Yes.

6

MR. YOUNG: I've been with our
7 association for two and a half years and I have
8 not heard of this discussed as an issue, either as
9 a fear that they are going to be required to take
10 minorities because I think the overwhelming
11 majority of your members, particularly the urban
12 areas, have populations in their facilities that
13 are reflected in the general population. It truly
14 is not, whether you are sitting around at a social
15 function or in a community meeting, this has never
16 been raised as an issue in the two and a half
17 years that I've been there.

18

MS. CIPRICH: One of your
19 recommendations was that a problem is the law that
20 allows middle class and wealthy people to divert
21 their assets when they go into a long term
22 facility in Medicaid. Why it is that wealthy and
23 middle class can get into facilities on Medicaid
24 and minorities can't? If that's true, something
25 is happening.

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MR. YOUNG: I think you put a twist on the phenomenon that isn't there. I don't know minorities can't get in first. Secondly, the other factors that I mentioned are equally important. I had a very prominent political person ask if I could help them get into a specific facility. And the administrator said, I can't afford to take them in because of what they will do my case mix and my reimbursement will follow my case mix down.

MR. COX: What I'm saying is--

MS. CIPRICH: Something is happening here. The wealthy and middle class can get it and the minorities can't. I'm asking anybody who is present to address this. What is happening?

MR. YOUNG: A, there is geography. B, is case mix. Both of those are far more important to a payer. Geography is important to the person who is using the facility. We're not talking about rich white people being accepted as Medicaid patients while the minority can't get in. The distinction, if I'm the provider, I have the two Medicaid patients come in. I want to know what there RUG category is. Are they a physical A, or

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2 are they complex? If they are complex, I want
3 them. If they are a physical A, I really rather
4 not, if I can avoid it.

5 MR. COX: That is the first criterion
6 that people in your operation really look at?

7 MR. YOUNG: Yes.

8 MR. AMBERS: Can I expand on this?

9 MR. MURRAY: Along the same line, I
10 believe that you implied that you'd expect
11 minority populations being poorer, in general,
12 than Caucasian populations to have more illness
13 and presumably more complex conditions and if
14 anything, should, on that basis, be over
15 represented in nursing care facilities because
16 they would be desirable because they should have a
17 higher reimbursement rate.

18 MR. YOUNG: If they are -- geography is
19 not to be dismissed.

20 MR. MURRAY: I understand but you are
21 saying Statewide, the minority population is
22 roughly 13 percent and that's pretty close to what
23 you have as a nursing home population. You would
24 anticipate if, in fact, the illness complex
25 medical problem relationship to profit exists

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2 that, in fact, there ought to be more than 13
3 percent of minority patients in nursing homes by a
4 substantial amount.

5

MR. YOUNG: Another way in which it works
6 is a person comes in and a Private Way and have
7 sheltered most of their assets. They stayed for
8 two months as a private payee and go on to
9 Medicaid or a relatively short length of stay as a
10 private payee.

11

Frankly, if you are really conniving
12 about this as a family, you keep enough of the
13 assets available so that they will have that
14 private pay access and pay the private rate for
15 three months, six months. Your assets are gone
16 because -- proper utilized to the law and then you
17 are Medicaid patient.

18

MS. CIPRICH: What ability to the
19 nursing homes have to request a financial
20 information from the perspective?

21

MR. YOUNG: I am not sure how extensive
22 that is.

23

MS. CIPRICH: Can a nursing home say I
24 want to see your balance sheet?

25

DR. PETE: They want to know they are

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2 coming. Most people who go to nursing homes come
3 directly from hospitals and when they were in the
4 hospital, a lot of them were on Medicare or
5 Medicaid anyway. There is information asked for
6 them to pay in the hospital. The information is
7 transferred to the PRI and the nursing home wants
8 to know are you Medicaid eligible in New York
9 State. That's the financial question so you can
10 get paid. The question is are you Medicaid
11 eligible.

12 MS. CIPRICH: What is being said here is
13 people go in as private pay patients and then
14 switch to Medicaid. If a nursing home can tell
15 what a person's income is, it wouldn't make sense
16 for a nursing home to do that.

17 MR. YOUNG: Not in a reasonable case
18 level; all the reason to take them. You are going
19 to get them private pay two months and then you
20 are going to have a mix.

21 MR. AMBERS: We assist 4,000 people
22 annually through the placement process as
23 information referral nursing homes. In New York
24 City, virtually are 80 percent are subsidized by
25 Medicaid; virtually 100 percent choose over who to

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2 admit or who not to admit and they ask for and
3 receive all of the financial data they need to
4 make a choice, you know, to make the choice they
5 want to make in terms of bank books.

6 In terms of tax records, everything they
7 ask for and get it for people who want to be
8 admitted and if the people won't produce it, they
9 won't process the application. Cutting back to
10 something else that you asked, in terms of, you
11 know, in determination of equal Medicaid
12 applicants, two years ago, an investigation that
13 Freia started with the New York office, the
14 special prosecutor's office in New York City, two
15 of the most prominent and well respected nursing
16 homes in New York's City, two, maybe three years
17 ago pleaded guilty or nolo contendere to shaking
18 down families of Medicaid applicants so that the
19 Medicaid applicants could get in for asking for
20 legal contribution which is against the state law
21 of Medicaid applicant to get in.

22 I'm not saying that this is widespread,
23 I'm saying that this existed in two facilities
24 that pled no contest to it and this was two of the
25 most prominent facilities in New York City.

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Also in terms of the facilities that are not for profit, they, you know, and they do a lot of fund-raising in addition to the Medicaid funding. If a white family shows up with a Medicaid applicant to a nursing home, they can say legally we recognize our responsibilities, we want our loved one to get in; we recognize our responsibilities to maintain your institution, too, you know, to your expansion program and building program. This is not illegal.

This is something that exists and this also exists when you have a wealthy middle class family whose relative has spent down their assets and are on Medicaid, this gives them a chance to get in over a minority applicant. There are many different factors that go with it and I think what we're going to see in New York is what we're seeing in Tennessee and what we're seeing in Pennsylvania.

There is going to be Court cases that are equating Medicaid discrimination against minority people with racial discrimination because the effect is that they are one in the same and I think we're spending as a civil right -- as an

1
2 organization concerned with civil rights, we're
3 spending too much time looking at the balance
4 sheet of nursing homes. No nursing homes in New
5 York City are going out of business. Everybody
6 wants to be in the nursing home industry and none
7 of them are going into Chapter XI. If they are
8 sold, they are sold within families or sold within
9 the industry but as long as I've been in Freia in
10 the last two and a half, I don't know of one
11 nursing home that has gone out of business or gone
12 into Chapter XI because of the Medicaid
13 reimbursements. It's one of the highest
14 reimbursements in the country.

15 MR. YOUNG: It is the highest in the
16 country; the labor costs and capital costs are the
17 highest.

18 DR. NISHI: Are there any other
19 questions for Mr. Young?

20 MS. CIPRICH: I would like to ask if
21 there are any statistics on case mix. Is there a
22 study or statistics you can give us; something in
23 writing. We've heard a general discussion about
24 this is the way it is and Freia is saying that
25 while you know, for instance, if we can get the

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2 two cases you are referring to, that would be
3 great but on this side, if we can get statistics
4 that a nursing home is interested in case mix and
5 the RUG category.

6 MR. YOUNG: You should come to the
7 Hospital Review and Planning Counsel meeting
8 Thursday where the State is intent on
9 recalibrating reimbursements because facilities
10 have increased their case mix over the last five
11 years and the State is convinced that they did
12 that not by increasing the level of care to
13 patient but rather by manipulating the company.
14 We have documented the direct cost to patients and
15 have striped the State's increases in care. You
16 are asking for a broad thing. If you can refine
17 what you want, I can fill this room with data.

18 DR. NISHI: If I can articulate. I
19 think what we are interested in is the trends with
20 regard to the case mix. You've indicated to us --
21 you've testified to us that is a case mix to the
22 crucial factor; it would be helpful to us.

23 MR. YOUNG: Ability to pay.

24 DR. NISHI: With what you identified as
25 a crucial factor and to indicate to us what the

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trends are in the crucial factor with regard to.

MR. YOUNG: If I had with me the State's data on case mix.

DR. NISHI: Or even a summary of that. We don't need a large room full. What we need are those crucial points that indicate what you are contending that this is the crucial factor in differences. We have Mario Tapia, president of the Latino Gerontological Center.

MR. TAPIA: Distinguished Commissioners, my name is Mario Tapia, president of the Latino Gerontological Center. On behalf of our Board of Directors, I express our deep appreciation for inviting us to participate in this forum. I will be presenting information about the surmounting difficulties faced by the Latino elderly in accessing services in general and longterm and nursing care, in particular.

The Latino Gerontological Center is a recently established organization that addresses the alarming situation faced by Hispanic elderly in the country, particularly in the northeast, in this case, New York State. The purpose of the center is to promote way to improve the quality of

1
2 life for Hispanic seniors through advocacy. Our
3 Board of Directors is comprised of outstanding and
4 a National Board of Advisors with diverse ethnic
5 backgrounds, from Puerto Rico to Los Angeles. We
6 are working in conjunction with a number of public
7 and private agencies in addressing the needs of
8 the elderly.

9 Our members have and will continue to
10 use their diverse range of expertise when
11 conducting conferences and special events on
12 behalf of our aging community. This year we have
13 presented at the American Society on Aging Annual
14 Meeting and at the first conference of the
15 "Sodiedad De Gerontologia De Puerto Rico." We are
16 consultants to a national demonstration project,
17 titled: INNOVATIVE EDUCATIONAL MATERIALS:
18 ALZHEIMER'S DISEASE AND PUERTO RICAN ELDERLY.
19 Presently, we are working in collaboration with
20 other organizations from the region, in the
21 development of the first northeastern conference
22 on Hispanic elderly, planned for March of 1992.

23 This presentation is significant because
24 of the dramatic change in demographics experienced
25 throughtout the State, particularly in the City of

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2 New York, and because of the growing, difficult
3 and complicated obstacles faced by this
4 population.

5 In 1980, the City of New York was the
6 home of 101,000 persons of Hispanic origin 60 and
7 over. This figure increased to 163,000 in 1990.
8 During this ten year period, the Hispanic elderly
9 population of the City grew 61 percent. In 25
10 more years, statistical projections bring that
11 number to well over half a million. Implications
12 for adequate longterm and nursing care plans for
13 the latino elderly are obvious.

14 To be old and Hispanic in the country
15 implies that there will be a significant difference
16 between the opportunities available to most older
17 persons and those accessible to the Latinos. This
18 discrepancy stems from a life-long discrimination
19 experience, which channelled both male and female
20 Hispanics into the hardest and most poorly paid
21 jobs. Consequently, depriving them of the ability
22 to make choices in terms of housing, education and
23 health services, among other things.

24 Close to 90 percent of the Latino
25 elderly are Spanish monolingual. Ninety percent.

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2 46 percent live alone, a result of the difficult
3 circumstances experienced by Latino families and
4 their communities. Two out of three Latino older
5 persons are living below or near the poverty
6 level.

7 Hispanic seniors, as well as their
8 families, often lack basic information on how to
9 access economic benefits available to older
10 persons. For example, 65 percent of Hispanic
11 elderly residing in the northeast who were
12 eligible for supplemental security income (SSI)
13 did not know it existed.

14 A broken window in New York during the
15 winter when the temperature is 10 degrees below
16 zero is a life threatening situation when you do
17 not know the language nor have information about
18 where to call for service.

19 For a Latino older person, attempting to
20 enter the health care system is an uncomfortable,
21 and for some, a frightening experience. Medical
22 staff are often unable to communicate because they
23 do not know the language nor do they possess the
24 cultural sensitivity needed to relate to the
25 patient.

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Discussions with older persons reveal that "they avoid going to a health care facility when sick because they are convinced that they will get sicker."

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In 1991, the lack of assessability to basic services remains unfair and a grave violation of the Civil Rights of Hispanic persons is the lack of assessability to nursing home care.

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In reviewing the 1990 reports on nursing homes prepared by the United Hospital Fund, we observed that the nursing home population is composed of 80 percent whites, 13 percent Afro-Americans and 40 percent Hispanics. In the 1990 census Hispanics over 65 years are 11 percent of the total number of the City's elderly, revealing that they are disproportionately represented in nursing home facilities.

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Is not uncommon for seniors who receive home care to report that they do not understand the language of the care giver. This typically causes frustration and anger which ultimately affects the individual's sense of well-being. A few years ago, as part of a national study and

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2 longterm care for the Hispanic elderly, I visited
3 a centrally located nursing home in New York
4 City. Of the 700 residents, 500 were Hispanics.
5 At the time of the visit, there were no bilingual
6 professional on the staff, nor were cultural
7 provisions observed. This matter was brought to
8 the attention of several administrators, who later
9 informed us that the menu would now include...
10 Chili con carne.

11 We are entering the third decade of this
12 country's ongoing discussion on the needs of
13 Hispanic elderly. Unfortunately, programs have
14 been minimal.

15 Governmental, private corporations and
16 foundation resources have not been fairly
17 distributed according to the growing needs of the
18 Latino community, including the elderly, despite
19 the growing population.

20 The annual report of a major New York
21 based foundation reported that out of \$50 million
22 allocated in 1989, less than one percent was
23 directed to Hispanic organizations. Hispanics are
24 25 percent of the City's population. In the year
25 2030, it will be 47 percent.

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Experts at the last World Congress on Aging in Mexico unanimously recommended that services to the ethnic elderly populations be implemented as an extension of their own communities. This included longterm care services.

It is necessary at the present time to present and implement programs for that half million Latino seniors. Our professionals, as well as our community based organizations, know how to plan and provide services that are ethnically and culturally appropriate. However, it is a difficult task when we lack the resources which are systemically denied to our community-based organizations from public and private sources.

We are submitting the following recommendations for you to consider as you propose to address the needs of the fast growing and underserved communities.

1. Develop community based research by Latino researchers for the purpose of systematically assessing the needs of this population.

2. Identify and provide funding to

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2 Latino advocacy organizations.

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4 3. Engage in the formulation of public
5 policy and analysis which will positively impact
6 on the present and future Latino elderly
7 population.

8

9 4. Design and promote effective model's
10 of service delivery for the Latino elderly
11 population, including participation in the
12 development of longterm care programs and
13 policies, and the provision of technical
14 assistance to community groups in terms of
15 grantsmanship, organizing, etcetera for program
16 development and.

17

18 5. Training gerontological specialists
19 that will work with the Latino elderly population
20 and its diverse groups.

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22 Social change requires a lot of courage,
23 social courage. It also requires very special
24 people to implement this change. This hearing is
25 an important step in the right direction.

26

27 Thank you.

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29 As a welcome to the Commission, I wrote
30 an article of the Spanish article and it came out
31 Friday.

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DR. NISHI: For those of you who have written transcripts--

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MR. PADILLA: I would like to really ask a question to Mr. Calabria. Since I am Hispanic and as an Hispanic, I claim that we prefer to have our elderly staying at home with their family and sometimes that is a problem because that discourages all. How you say that, the issue, cultural issue pertaining to Hispanic elderly at home and within the family other than to put them in a nursing home because sometimes that is not good.

MR. YOUNG: I think that goes to the point that I was trying to make earlier, the development of other programs. Whether it's an assistant living program or the expansion of the home care attendant service to enable families to stay together. To provide options and to reduce the dependents on uninstitutionalized care, I think in everyones behalf.

MR. TAPIA: I think that's one of the key questions and it's good you brought it up because there is a myth there used very much by the majority of organizations to keep minority

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2 groups outside of resources. This is a matter of
3 resources, existing resources. We're not going to
4 go to another place to get assistance. It's the
5 money we have right in my State, City and Federal
6 government.

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8 If we have a chance, first, we did our
9 own survey trying to, you know, because we got the
10 feeling, we like to be with family. We are family
11 oriented people. But somehow the economics in
12 renting half a room of studios, how do you see
13 taking care of your grandparents?

14

15 DR. NISHI: Could you provide a copy of
16 that survey for your study?

17

18 MR. TAPIA: Yes. And we found that 46
19 percent of people live alone. I made another
20 point, the Commonwealth Fund started, I reported
21 22 percent of Hispanics. There was a national
22 wide study and in my recommendations, I also asked
23 for research done by Hispanic researchers. My
24 point is that the Commonwealth Fund started was
25 that over the phone they told 2,200 people from
the marketing points of view and now their survey
is that in the other area, 38 percent of Hispanic
their households do not have phones.

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2 Just to answer your question in my 15
3 years as a professional on aging, I believe the
4 City is close to 35 percent of the people are
5 living alone and the family has this very
6 difficult decision where to go because they are
7 not welcome everywhere. That's the other
8 problem. When I told you the experience of going
9 to this particular nursing home, there was no
10 nurse. There was no social worker that will speak
11 the language of the Hispanic person in that
12 place. It has changed. I think it has changed.
13 Maybe 30 years ago, we were all young and we never
14 saw ourselves growing old. Right now we see our
15 elderly population growing so fast.

16 MR. AMBERS: We were surprised to see
17 that the Catholic sponsored nursing homes had very
18 few Hispanics and virtually no West Indians Blacks
19 that are also members of the Catholic faith. This
20 was a case where, you know, there so-called
21 religious based nursing homes might have been
22 religious based but for white religious and not
23 for the black and Hispanics religious. That was
24 quite disturbing to see.

25 MR. TAPIA: These are statistics, the

1
2 annual statistics from the State Department when
3 you look at the survey breakdown.

4 DR. NISHI: We appreciate that.

5 MS. CUNNINGHAM: I just a had a
6 comment. I think also that the changing
7 demographics show that a lot of families now don't
8 necessarily live together.

9 For example, my mother lives in the
10 Bronx and I live in Albany so that as she gets
11 older and she has long term needs, it would mean,
12 either her moving to Albany, which she'll never
13 move or dependency on community based or other
14 long term care shelter so that even though the
15 cultural closeness of the family is there, the
16 system has to be responsive to the reality of the
17 situation.

18 MR. PADILLA: I believe that but it is
19 still in the Hispanic community that will have to
20 be a cultural change because when we go to
21 interface with other institutions, we claim that
22 we don't want to see our parents in nursing
23 homes. So there has to be an approach in your
24 community.

25 MS. VIDAL: Thank you, Madam chair, and

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2 members of the committee for inviting us to
3 participate and for the distinguished panel that
4 is here. My name is Sara Vidal, I am here
5 representing Andrew Stein who is not able to
6 attend today because of prior commitments.

7

8 Recently, Mr. Stein held a public
9 hearing on problems facing the Hispanic elderly in
10 New York. Mr. Tapia was part of our panel. The
11 number of Hispanic elderly has increased
12 dramatically. The 1990 census reported more than
13 150,000 persons of Hispanic origin 60 years and
14 over in New York City. This figure represents
15 approximately 12 percent of the total elderly
16 population.

16

17 Actually, the Hispanic elderly is the
18 fastest growing segment in the City of New York
19 and is expected to quadruple by the year 2000.
20 I'm going to add a little paragraph here.

20

21 Andrew Stein is synonymous with elderly
22 issues in the City. As Council President, as
23 assemblyman, etcetera, he was at the forefront of
24 the nursing home crisis here in the City and,
25 therefore, many elderly people come to our offices
for services.

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2 Our approach with regard to the last
3 hearing that we held was to dig in deeper into the
4 needs, specifically of the Hispanic elderly; very
5 little documentation exists nationwide, less so in
6 the Spanish community. We were able to bring
7 together many agencies, many advocacy groups,
8 specialists, and we came up with a very good
9 document which you have on the table there for
10 your records.

11 Speaker after speaker at the hearing
12 illustrated the horrendous living conditions of
13 the older Hispanic New Yorkers. They confirmed at
14 that time major barriers affecting the Hispanic
15 elderly resolve around language, cultural
16 awareness and lack of services.

17 Despite the many governmental policies
18 and regulations requiring bilingual workers in
19 many agencies and non for profit organizations,
20 older Hispanics are still unable to access
21 services because of the lack of or insufficient
22 number of bilingual workers. Older Hispanics are
23 usually Spanish and poorly educated and therefore
24 illiterate in both Spanish and English. Many are
25 intimidated by the forms and paperwork that are

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2 required to be completed by agencies before they
3 provide services to the elderly.

4

Further, many speakers at the hearing
5 identified that lack of information is in itself a
6 barrier that prevents older Hispanics from taking
7 advantage of services for which they qualify.

8

Traditionally, elderly Hispanics rely on
9 information delivered to them by word-of-mouth.
10 Therefore, the conventional outreach methods are
11 not successful with this constituency.

12

Lack of the access information prevents
13 Hispanics from using available entitlements. The
14 1989 Commonwealth Fund Study states that 44
15 percent of the Hispanics who are eligible for SSI
16 are enrolled and that more than a quarter of those
17 potentially eligible said they had never heard of
18 SSI before. SSI benefits are crucial for the
19 Hispanic elderly because they have earned low
20 incomes and most retired their health insurance
21 benefits.

22

At the hearing, witnesses stated that
23 Hispanic elderly live in substandard housing and
24 are concentrated in poverty stricken areas of New
25 York City. These areas are replete with

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2 dilapidated buildings and buildings that violate
3 the housing code. Added to the stressful
4 situation is a fact that many elderly tend to live
5 with family members. A study done by the
6 Partnership for the Homeless in 1989 estimated
7 that between 45,600 and 52,500 Hispanic families
8 live in doubled and tripled-up situations in New
9 York City. Housing in New York City has become
10 even more scarce and substandard as Federal
11 funding has decreased. Hispanic elderly are
12 uninformed about what is available in housing for
13 them although most Hispanic elderly are eligible
14 for some kind of housing: Section 202 Senior
15 Citizens Housing or Senior Citizen Rent Increase
16 Exemption, the complex rules and regulations
17 governing these programs coupled with the lack of
18 publicity prevent the Hispanic elderly whom might
19 prefer living alone from taking advantage of
20 them.

21 To insure better quality of life
22 information and language policy of the Hispanic
23 elderly must be addressed. I will continue to
24 examine the issues and recommendations that
25 surfaced during the course of testimony presented

1
2 at the public hearing. A copy of said testimony
3 is being provided to the U.S. Commission on Civil
4 Rights for the record. Thank you for this
5 opportunity.

6 DR. NISHI: We appreciate that very
7 much. Thank you. Our regards to Council
8 President. Are there any questions? Are there
9 any questions from the floor?

10 MR. YOUNG: To what extent does the
11 pervue of this go beyond the issues?

12 DR. NISHI: They are--

13 MR. YOUNG: They are becoming an
14 increasing part of our clients.

15 DR. NISHA: We were leaning specifically
16 with minority elderly. If you have supplemental
17 testimony, we'll take that.

18 We thank you all for participating in
19 the informational hearing. We received a great
20 deal of information. We expect to sift through
21 the data and to present a report which you will
22 have an opportunity to report. We thank you.

23 MR. CALABIA: Before it is published,
24 you will have a chance to look at the draft and
25 update any information. Also, when we do release

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it in a public press conference, you'll be invited to participate with us.

DR. NISHI: We thank you very much. We believe we've dealt with an extremely important issue and appreciate your compliance in initiating it and understanding it.

(TIME NOTED: 4:45 P.M.)

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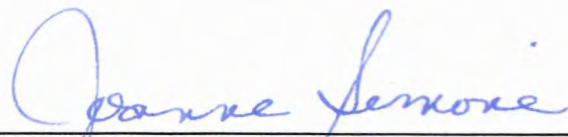
STATE OF NEW YORK

COUNTY OF KINGS

I, JOANNE SIMONE, a Shorthand Reporter and Notary Public within and for the State of New York, do hereby certify:

That the foregoing record of proceedings is a full and correct transcript of the stenographic notes taken by me therein.

IN WITNESS WHEREOF, I have hereunto set my hand this 15 day of January, 1992.



JOANNE SIMONE

Good afternoon Madam Chair, members of the advisory committee, fellow presenters and guests. My name is Carmen Vinales Cunningham. I am the Director of Affirmative Action Programs for the New York State Office for the Aging in Albany. On behalf of Ms. Jane Gould, the State Office Director, I welcome this opportunity to speak before you today on the problems of access to long term care services - particularly nursing home care, experienced by older minority residents of New York.

I would like to begin my comments by distinguishing the role of the State Office for the Aging in relation to the focus of your study on long term shelter and nursing care for the minority aged. As the designated State Unit on Aging by the Administration on Aging, the State Office is charged with advocating for the development, coordination and administration of a comprehensive service delivery system for the over three million elderly New Yorkers. With primary Federal funding under the Older Americans Act, as well as State and local funding, the State Office advocates for and serves elderly New Yorkers through program, policy and legislative initiatives. The State Office oversees 59 local offices for the aging which includes two that are on Indian reservations, the St. Regis Mohawk Reservation and the

consider is the development of an appeals mechanism for nursing home denials to work in close cooperation with ombudsman programs.

The issues of economic and racial discrimination in accessing aging services, particularly long term services which can be more costly and needed for many minority elderly have major policy implications for government agencies. The targeting of services to those elderly in greatest social and economic need with particular attention to low income minorities is a mandated regulation pursuant to the Older Americans Act and is a priority at all levels of the aging network, national, state and local.

It is our targeting initiative that strives to increase the participation rates of minority elderly in aging service programs. Among the steps taken to increase access and information for minority elderly, the State Office has established a Statewide Committee on Minority Participation. This 15 member committee has representatives from communities across the State, of differing cultural and ethnic backgrounds and with affiliations as retirees, academicians, lawyers, service providers and minority aging advocates organizations. It is the charge of this committee to assist Director Gould in

long term care data hampers policy development for the long term care system. It is my understanding that the Department of Health has a patient review instrument (known as the PRI) for nursing facility residents which was developed as a tool for determining nursing home case mix reimbursement. This is the only document which provides a computerized profile of recipient characteristics. Recently this document has been examined by the Division of Human Rights regarding the legality and use of ethnic data collection. I was hoping to hear an update on this issue from Mr. Kostelney, Administrative Law Judge from Human Rights, who was scheduled to present here today. The PRI was an outcome of a 1986 report on Nursing Home Care which noted the need for ethnic data of nursing home residents to be able to determine if discrimination exists. However a recent review of the PRI instrument by the counsel at Human Rights suggests that the document should not be forwarded to the nursing homes until the patient actually shows up to occupy a bed. In New York State, there are restrictive laws regarding ethnic data collection that are designed to hinder discrimination on applications to nursing home facilities and other long term care services. This regulation has a restrictive impact on our data collection efforts regarding newly implemented caregiver programs as well. However

The State Office staff was actively involved in the New York State Nursing Home Task Force which issued the report referenced earlier in June of 1986 that included specific recommendations regarding discharge planning and admissions to nursing homes. Also recommended was the establishment of a data base on referrals and admissions to document if in fact systematic discrimination based on race for nursing home admissions exists. The task force consisting representatives from the Department of Health, Office for the Aging, Division of Human Rights and friends and relatives of the institutionalized aged was a result of a report which was released in 1984 by the advocacy agency Friends and Relatives of the Institutionalized Aged which alleged racial discrimination by hospital discharge workers and hospital personnel resulting in segregation in nursing homes in NYC. The task force report revealed low minority resident representation in nursing care facilities. Based on subsequent documentation and research on minority elderly such as that from the Minority Elderly Report which was published by our Office and forwarded to you last October, data supports that minority older persons have a higher prevalence rate for chronic disease and a higher level of impairment or frailty than same age whites. Therefore the likelihood for the need for long term care services may be higher as well. As a

providers that all minority families tend to or prefer to take care of their own even in the most neediest of cases without regard to individual circumstances, the lack of awareness by many minority older persons of existing long term care services and how to navigate through bureaucracies and entitlement programs to obtain needed services and the uncomfortable and inadequate communication or lack of communication between minority elderly or persons acting on their behalf and health care providers. In these situations, often times cultural differences or socioeconomic differences relating to communication and expression, exclusive of language, such as tone of voice, use of hands when speaking, lack of eye contact on the part of minorities and lack of cultural sensitivity on the part of the health care provider contribute to inadequate access to services when the best case plan is not the end result. Also it cannot be overstated that many elderly minorities have experienced or have memories of discrimination with government agencies in the past and have culturally based fears regarding divulging personal information. This of course impacts on access as it is presently structured. Issues of racial disparities in medicaid coverage for nursing care are presently being examined by the National Senior Citizens Law centers and other advocate groups across the country.

persons stay at home have encouraged state governments and public agencies to reconsider the design of long term care services and how they are accessed.

Governor Cuomo in his 1991 State of the State message, directed the State Office for the Aging to examine the feasibility of implementing a program known as Managed Access to Aging Services (MAAS). This program would establish a single point of entry to long term care for the elderly in New York State. The key features of MAAS include the consolidation of responsibility and authority for managing the elderly's access to long term care services within the State Office for the Aging and the designation of local long term care case management agencies with responsibility for assessing the elderly's need for long term care, determining the most cost effective method for responding to those needs, authorizing last resort public payment for needed services, and providing on-going case management to monitor the provision of services and make adjustments in care plan as appropriate. In its totality, the MAAS proposal embodies a basic change in long term care responsibilities: there will be for the first time, a visible point of accountability for all long term care access issues for older New Yorkers. The implications